Privacy Policy

FACTS	WHAT DOES FORM WEALTH ADVISORS, LLC ("FORM") DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: • Social Security number and employment information • Income, net worth and investment experience • Risk tolerance and retirement assets When you are no longer our customer, we continue to share your information as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons FORM chooses to share; and whether you can limit this sharing. Mobile information will not be shared with third parties/affiliates for marketing/promotional purposes. All the above categories exclude text messaging originator opt-in data and consent; this information will not be shared with or obtained from any third parties. SMS opt-in consent or phone numbers for SMS purposes will NOT be shared with third parties and affiliates for marketing purposes.

Reasons we can share your personal information	Does FORM share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	Yes
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	Yes
For our affiliates' everyday business purposes— information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes

For non-affiliates to market to you ***If your representative terminates his or her relationship with us and moves to another investment advisory firm, we or your independent representative may disclose your personal information to the new firm, unless you instruct us not to by returning the completed Privacy Choices Notice form attached to this notice.**	Yes	Yes

Questions?	Call 262-686-3005

Who we are

Who is providing this notice?	FORM Wealth Advisors, LLC (FORM)

What we do

How does FORM protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We only allow access to your personal information to those employees who need it to perform their job responsibilities.
How does FORM collect my personal information?	We collect your personal information, for example, when you • enter into investment advisory contract • apply for insurance • seek financial advice We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only • sharing for affiliates' everyday business purposes—information about your creditworthiness • affiliates from using your information to market to you • sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. • Non-affiliates we share with can include companies such as vendors, and other service providers.

Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. Our joint marketing partners include categories of companies such as insurance companies.

Other important information

Artificial Intelligence: We may utilize artificial intelligence (AI) tools internally to assist in summarizing and organizing notes from client meetings to enhance record-keeping and efficiency. These AI tools do not replace human oversight, and all notes are reviewed for accuracy and compliance with regulatory standards. Client data processed through AI remains confidential, securely stored, and is not shared with third parties unless required by law. AI is not used to make investment decisions or communicate directly with clients. Additionally, we conduct thorough due diligence upfront and ongoing assessments of our AI tools' privacy and cybersecurity protocols to ensure they meet the highest standards of security and confidentiality. If you have any questions or wish to opt out of AI-assisted notetaking, please contact Kim Cochenour at 262-686-3132 or Kim.cochenour@formwealth.com

FORM Wealth Advisors, LLC (FORM) is a registered investment adviser.

If you want to limit our sharing

Contact us	If you prefer that we not share your nonpublic personal information (except in those circumstances described previously that are permitted or required by law) or if we make any substantial changes in the way we use or disseminate confidential information, we will notify you. If you have any questions concerning this Privacy Policy, please contact us: **By telephone:** 262-686-3005 **By mail:** Mark your choices below, fill in, and send the form to: FORM Wealth Advisors 431 Geneva National Ave. South Lake Geneva, WI 53147 **Unless we hear from you, we can begin sharing your information 30 days from the date of this letter. However, you can contact us at any time to limit our sharing.**

Check your choices

Your choices will apply to everyone on your account

Check any/all you want to limit:

- ☐ Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
- ☐ Do not allow your affiliates to use my personal information to market to me.
- ☐ Do not share my personal information with non-affiliates to market their products and services to me.

Your name		Mail to: FORM Wealth Advisors 431 Geneva National Ave. South Lake Geneva, WI 53147
Your address		
Account number		